Post Approval Monitoring Program

Purpose
The purpose of the Post-Approval Monitoring Program is to ensure that research and teaching activities involving live vertebrate animals are conducted in accordance with all applicable federal law, regulations, policies, and are consistent with the Principal Investigator’s (P.I.) IACUC-approved Animal Care and Use Protocol and amendments. In addition to providing continuing IACUC regulatory oversight of animal activities, post-approval monitoring may also serve as a valuable educational tools with the goal of achieving a culture of compliance.

Policy
Any approved Animal Care and Use Protocol may be subjected to post approval monitoring, as follows:

- At least 10% of approved projects will be selected and reviewed annually by the IACUC. Projects may be selected randomly, or for cause, or by risk-based assessment to ensure adequate coverage of complex protocols (i.e., those involving surgical procedures or field studies) and USDA-covered species.
- Major Multiple Survival Surgery - Every approved Animal Care and Use Protocol that involves multiple major survival surgery will be reviewed at least once after the protocol is approved and is active.
- Protocols approved with a condition (stipulation) - Every Animal Care and Use Protocol that has been approved with a condition will have the condition reviewed at least once with the P.I. or the P.I.’s designee.

Procedure
The IACUC office will coordinate with the P.I. or the P.I.’s designee to schedule the review. The Post Approval Monitoring form will be used as a guide for conducting the review.

Post-approval monitoring will generally include a meeting with the investigator or animal care staff at the investigator’s facility or laboratory and will be conducted by IACUC members, IACUC Staff, or members of the Office of the Attending Veterinarian. Post-approval monitoring may involve inspecting facilities, monitoring procedures, records review, or other activities deemed necessary by the IACUC. In all cases an educational and collaborative partnership with investigators and staff will be expected.

Any deficiencies or deviations from the approved protocol and amendments will be noted and corrective actions and timelines will be established at the time of the visit.
The P.I. will be provided access to the IACUC approved report. The report will be submitted to the IACUC for review, discussion, and approval during a convened IACUC meeting.

If the deficiency or deviation is considered significant, is not correct within the allotted timeframe, or if there are repeated deficiencies or deviations, the IACUC will determine any further corrective action. AAALAC, OLAW, and/or USDA will be notified as appropriate, based on corrective action taken and per agency requirements.

Procedure: IACUC-10
Date: January 10, 2013
Enabled By: USDA/PHS
Supersedes: May 6, 2010

Contact

IACUC-Institutional Animal Care and Use Committee
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More information
/institutional-animal-care-and-use-staff-listing [1]

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