Title: Animal Facility Security and Access Control

I. Purpose:

To establish campus wide standards for facility security and access control

II. Policy:

Only those persons assigned to an IAUC Protocol or Staff Roster will be allowed unescorted into an animal facility. Key control will be established and maintained by a designated person for the facility or on the department level. Animal facilities will be locked when animals are present, the only exception is for brief periods when personnel are present and unlocked or open doors are needed to facilitate tasks being performed. Key card access systems are the standard recommended access control system for the outer doors to a facility and main hallways. Controlled master key sets and changeable key code entry systems are also acceptable but not preferred. Common or old master keys systems should be updated to ensure access is only by personnel authorized to be working with animals. Barns, pastures and other agricultural or field settings may not be lockable and can be excluded from the access token portion of the policy but due diligence still applies.

III. Procedure:

Definitions:

Animal Facility is an IACUC designated place where animals are housed and/or used under an Approved IACUC protocol

Unescorted Access is the privilege of entering an animal housing or procedure facility without assigned animal care or veterinary staff or compliance personnel present as an escort.

Escorted Access is the privilege of entering an animal facility while in the care of a trained and qualified individual with unescorted access privileges.

Levels of access:

Unescorted Access (issuance of keys or activation of key cards or other tokens) is only granted to those currently assigned to an animal use and care protocol currently being conducted in an animal facility or to those on a staff roster that indicates they work,
provide care or oversight in the facility. Examples of the latter include the Campus Veterinary Services Staff Roster, The IACUC Staff Roster, and Facility Specific Staff Rosters such as TRACS or CMGI etc. These personnel will be up to date for any medical related screening required for entry into the facility, for example TB testing for NHP facilities. In addition a safety tour is required per UCOP policy, all personnel must be shown, exits and safety features of the facility before unescorted access is granted.

**Escorted Access** is granted to those needing infrequent or ancillary access to animal quarters, those not assigned to care for the animals in the facility, and those not assigned to a protocol or those that have not completed the facility training to safely access an animal area. Visitors, volunteers, and non animal care workers fall into this category as do researchers observing procedures or newly assigned to an animal care area. Facility maintenance personal are asked to obtain an escort from animal facility personnel prior to entering an area where animals are housed. This does not in any way preclude emergency responses in animal facilities. Facility managers should have a training session with assigned maintenance personal when at all possible before an emergent situation presents itself.

**No Access** is assigned to those not meeting the minimum requirements to enter a facility or for noncompliance with laws, regulations, policies and guidelines. The following are examples of situations where no access will be granted and the person is excluded from entering an animal facility: Those not having a current TB test will have no access to NHP facilities; those failing to abide by PPE policies may have a status of no access assigned to them. The no access status may be assigned by IACUC, Facility Managers or Veterinary Managers or other security officials as is appropriate to protect the security and integrity of the animals under our care. A report will be made and copied to the IACUC Administrator, The Facility Manager/Supervisor and Attending Veterinarian or designee when access is revoked for cause (generally the Campus Veterinarian’s compliance officer will maintain these documents for the Attending Veterinarian and may act as designee).

**Key and Key Card Issuance:**
Unescorted access status is required for key card and or animal facility key issuance. Current assignment to an animal care protocol or staff roster is required. *Reminder: Assignment to a protocol or staff roster requires ACU 101 completion and current enrollment in the Occupational Health Program, and adequate training as assessed by the PI/IACUC.* A background check is recommended before keys/key cards granting access to an animal facility are issued. Facility Specific Training/Orientation given by an animal facility manager, staff member or veterinary staff member is also strongly recommended and may be required by individual facilities. A safety tour as described above is now mandatory for access token issuance per UCOP safety policy.

**Key and Key Card Management:**
It is recommended that a log will be maintained in an electronic database or key card access program or hard copy check out system. The minimum standard is that a checkout and check in process is maintained and personnel are verified to be authorized animals users before issuance of keys or activation of key cards. It is recommended that at least annually or more frequently in areas with a high turnover rate, keys, key cards
or other access tokens will be inventoried and/or access lists reviewed by assigned security key/access control personnel, usually the facility manager or other person reviewing requests. Protocol or staff roster assignment will be checked against current IACUC records. During this review those persons no longer with the University or no longer performing animal work in a facility will have their access cards turned off and/or be contacted to return keys. PI’s/Departments are responsible for the keys/cards issued to their assigned personnel. If rekeying becomes necessary the cost may be shared with the responsible PI/Department.

**Due Diligence:**
All personnel should be aware of their surroundings and the people working in their vivarium areas. Unfamiliar people not displaying identification should be challenged or reported to the facility manager or police if the person observing an unfamiliar person feels them to be suspicious or potentially a threat (do not challenge people if you feel it is unsafe to do so, call the police). Persons entering a facility should not allow others to piggy back into an animal housing area or other secure area. (piggy backing is letting someone in who has not swiped their card or displayed some credentials authorizing them access to the area). **Sharing of access cards or allowing an unauthorized person to use your credentials is prohibited.** All personnel are responsible for locking doors they unlock, closing gates they open and ensuring the facility is secure when they leave.